

1. Purpose

The purpose of this policy is to ensure that all complaints raised by students, personnel, and other stakeholders are handled in a fair, transparent, and consistent manner. The policy aims to promote a culture of integrity and continuous improvement.

This policy applies to all complaints received from students, personnel, industry partners, and other stakeholders regarding the services, operations, or conduct of the organisation. This policy and processes implemented are aligned to requirements outlined in *ISO 10002:2018 Quality management – Customer satisfaction – Guidelines for complaints handling in organizations*.

The organisation is committed to providing a safe, supportive, and high-quality environment for all stakeholders. All complaints will be managed professionally, confidentially, and in accordance with the principles of natural justice, ensuring that complainants and respondents are treated fairly and respectfully.

2. Policy Statement

MTA Training and Employment ensures that students, personnel, and stakeholders are made aware of the feedback and complaints processes through multiple accessible channels. Information on these processes is clearly outlined in the MTA Training and Employment Student Handbook, organisational website, and enrolment documents. Additionally, third-party providers are required to inform prospective students about the complaints process. During orientation sessions and onboarding for both students and personnel, the complaints process is explicitly discussed to promote awareness. Regular reminders, posters, and digital communications further ensure stakeholders are well-informed.

MTA Training and Employment's complaints management system is designed to reflect the principles of fairness, timeliness, and effectiveness. Complaints are handled by unbiased personnel to ensure impartiality, and complainants are given the opportunity to present their case without fear of repercussions. A triage system is in place to prioritise complaints based on urgency and complexity, ensuring timely responses. Acknowledgements of receipt and updates on progress are provided regularly to maintain transparency. All complaints are resolved with procedural fairness, respecting the rights of all parties involved. Clear guidelines, training for personnel, and accountability measures, such as tracking complaints in the **Complaints Register**, ensure consistency and professionalism.

The outcomes of complaints are systematically analysed to identify trends, root causes, and opportunities for improvement. Substantiated complaints result in corrective actions that are documented in the **Continuous Improvement Register**, and relevant policies, procedures, or practices are updated accordingly. MTA Training and Employment conducts regular audits of the complaints handling process to assess its effectiveness and make adjustments as needed. Feedback from complaints is also used to inform strategic planning, risk management, and resource allocation, contributing to a culture of continuous improvement.

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3. Policy Principles

MTA Training and Employment's complaints process and appeals process (refer **Appeals Policy**) reflects the fourteen (14) guiding principles as outlined in ISO 10002:2018.

Commitment	The organisation is actively committed to defining and implementing a complaints-handling process.
Capacity	Sufficient resources are made available for and committed to complaints handling and are managed effectively and efficiently.
Transparency	The complaints-handling process is communicated to customers, personnel and other relevant interested parties. Individual complainants are provided with adequate information about the handling of their complaint.
Accessibility	The complaints-handling process is easily accessible to all complainants. Information is made available on the details of making and resolving complaints. The complaints-handling process and supporting information is easy to understand and use. The information is in clear language. Information and assistance in making a complaint is made available, in whatever languages or formats that services are offered or provided in, including alternative formats, such as large print, Braille, or audiotape where requested, so that no complainants are disadvantaged.
Responsiveness	The organisation addresses the needs and expectations of customers with respect to complaints handling.
Objectivity	Each complaint is addressed in an equitable, objective and unbiased manner through the complaints-handling process. Please refer to the principles of objectivity below for further information.
Charges	Access to the complaints-handling process is free of charge to the complainant.
Information integrity	The organisation ensures that the information about its complaints handling is accurate and not misleading, and that data collected are relevant, correct, complete, meaningful and useful.
Confidentiality	Personally identifiable information concerning the complainant is available where needed, but only for the purposes of addressing the complaint within the organisation and is actively protected from disclosure, unless the customer or complainant expressly consents to its disclosure or disclosure is required by law.
Customer focused approach	The organisation adopts a customer-focused approach with respect to handling complaints and is open to feedback.
Accountability	The organisation has established and maintains accountability for, and reporting on, the decisions and actions with respect to complaints handling.
Improvement	Increased effectiveness and efficiency of the complaints-handling process is a permanent objective of the organisation.
Competence	Organisation personnel have the personal attributes, skills, training, education and experience necessary to handle complaints.
Timeliness	Complaints are handled as expeditiously as feasible given the nature of the complaint and of the process used.

The MTA Training and Employment complaints process is free, and easily available, to manage and respond to allegations involving the conduct of:

- MTA Training and Employment, its trainers, assessors or other personnel, or
- An MTA Training and Employment contracted third party providing services of MTA Training and Employment, including the third-party representatives' trainers, assessors or other personnel, or
- A student of MTA Training and Employment.

Document Number	2.5.001	Created Date:	05/03/2021
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Version No.	3.0	Last Reviewed:	23/04/2025

Objectivity

MTA Training and Employment is committed to the principles for objectivity in the complaints-handling process.

Openness	Well publicised, accessible, and understood by those involved in a complaint. The process is clear and well publicised so that both personnel and complainants can follow.
Impartiality	Avoiding any bias in dealing with the complainant, the person complained against, or the organisation. The process is designed to protect the person complained against from any biased treatment. Emphasis is placed on solving the problem and not on assigning blame. If a complaint is made about personnel, the investigation is carried out independently.
Confidentiality	The process is designed to protect the complainant's and customer's identity, as far as is reasonably possible. This aspect is very important to avoid deterring possible complaints from people who might be afraid that giving details could lead to inconvenience or discrimination.
Accessibility	The organisation allow the complainant access to the complaints-handling process at any reasonable point or time. Information about the complaints process is readily available in clear language and in formats accessible to all complainants. When a complaint affects different supply chain participants, a plan to coordinate a joint response is made. The process allows any information arising from the complaints to be known by any suppliers of the organisation that are concerned by the complaint so that they are able to make improvements.
Completeness	Finding out the relevant facts, talking to people from both sides involved in the complaint to establish a common ground, and verifying explanations, whenever possible.
Equitability	Giving equal treatment to all people.
Sensitivity	Each case is considered on its merits, paying due care to individual differences, and needs and expectations.

Objectivity for Personnel

Complaints-handling procedures ensure that those complained against are treated objectively. This includes:

- Informing them immediately and completely on any complaint about their performance.
- Giving them the opportunity to explain the circumstances and allowing them appropriate support.
- Keeping them informed of the progress in the investigation of the complaint and the result.

It is vital that those against whom a complaint has been made are given full details of the complaint before they are interviewed. However, confidentiality should be observed.

MTA Training and Employment personnel are reassured that they are supported by the process. Personnel are encouraged to learn from the complaints-handling experience and to develop a better understanding of the complainant perspectives.

The complaints-handling process ensures confidentiality in the case of complaints against personnel. The details of such complaints are known only by those directly concerned.

Complaints Process Approach

MTA Training and Employment is open to receiving feedback and complaints and ensures information about how to provide feedback and make complaints is publicly available and easily accessible, including via the MTA Training and Employment Student Handbook and website. Where MTA Training and Employment uses third parties to deliver services, the organisation ensures complaints information is also made available to prospective clients.

<i>Document Number</i>	2.5.001	<i>Created Date:</i>	05/03/2021
<i>Document Name</i>	Complaints Policy	<i>Last Modified Date:</i>	23/04/2025
<i>Version No.</i>	3.0	<i>Last Reviewed:</i>	23/04/2025

The organisation takes a best practice approach that:

- Fostering a receptive, blame-free culture that is open to feedback and improvement.
- Ensures there is no detriment to people who complain.
- Makes it easy and accessible for people to provide feedback and make complaints.

Students are supported to provide feedback and make complaints. Allowing students to easily engage with the personnel of MTA Training and Employment about any concerns they have can stop minor issues becoming larger. There are a range of avenues through which complaints can be made. This includes in person made directly to MTA Training and Employment representatives, via phone or in writing, including via email or MTA Training and Employment website.

The organisation actively seeks to remove any barriers to making complaints and any fear of repercussions to the complainant.

Complaints are handled impartially, with respect for all parties involved, reflecting procedural fairness and natural justice.

MTA Training and Employment personnel are well trained and supported to manage complaints, acknowledging promptly and providing timely responses.

Complaints are resolved without unnecessary delay. MTA Training and Employments recognises that some complaints may require more urgent attention than others, and that some complaints may take longer to resolve than others. MTA Training and Employment's process includes triaging complaints where needed.

The organisation communicates transparently with stakeholders about the expected timeframes for acknowledging, resolving and communicating the outcomes of complaints. In any situation where timeframes are not being met (because the complaint is taking longer to resolve than anticipated) MTA Training and Employment maintains regular contact with the complainant including to explain any delays.

All complaints are handled by an unbiased person.

MTA Training and Employment ensures the privacy of complainants, and the confidentiality of information included in a complaint.

Reflecting the principles of natural justice and procedural fairness, both the complainant(s) and the subject(s) of each complaint are given an opportunity to be heard and to provide relevant information before a decision is made.

The MTA Training and Employment Chief Executive Officer has overall responsibility for managing complaints and communicating their value to the organisation. MTA Training and Employment ensures:

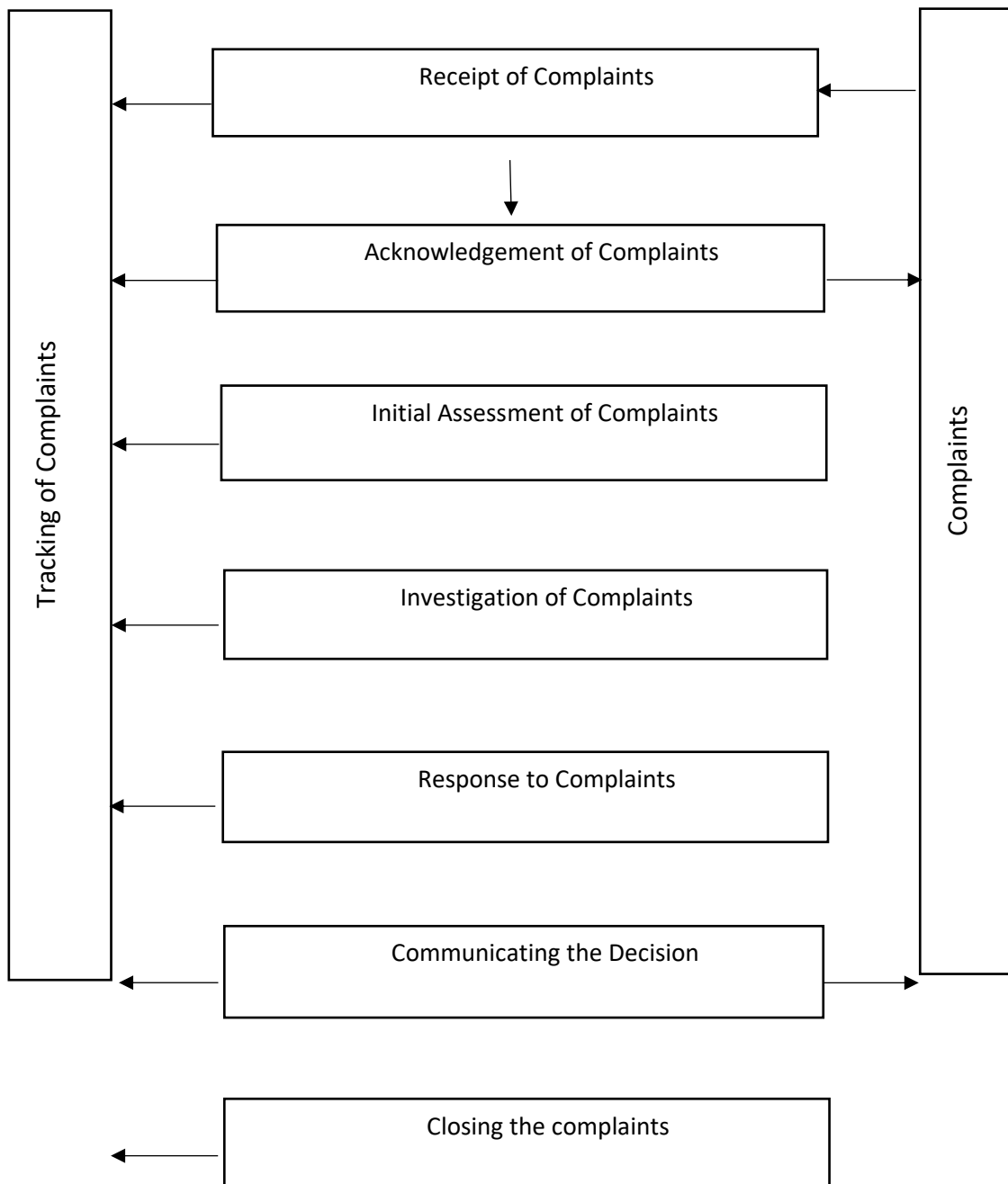
- Personnel at all levels understand and comply with complaints management policies and procedures,
- Personnel have received appropriate guidance, training and support to handle complaints,
- Students are directed to the complaints process and supported to lodge complaints when they experience a problem or have an issue, and
- Personnel performance is monitored to ensure complaints are handled properly and appropriate remedies are provided.

MTA Training and Employment maintains a student complainant's enrolment during any complaint process.

Document Number	2.5.001	Created Date:	05/03/2021
Document Name	Complaints Policy	Last Modified Date:	23/04/2025
Version No.	3.0	Last Reviewed:	23/04/2025

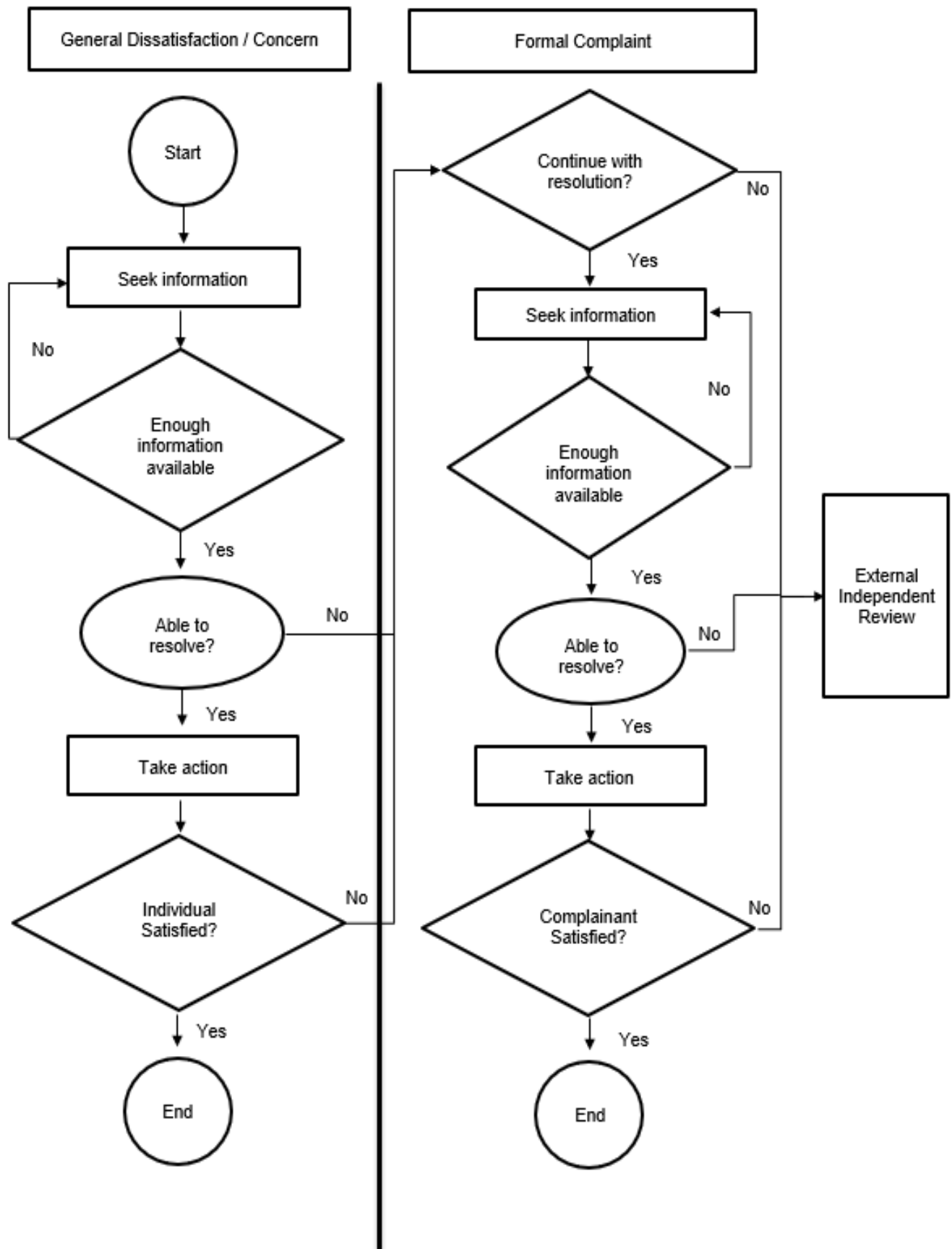
4. Complaint, Grievance and Dispute Resolution Procedure

Complaints Handling Flowchart



Document Number	2.5.001	Created Date:	05/03/2021
Document Name	Complaints Policy	Last Modified Date:	23/04/2025
Version No.	3.0	Last Reviewed:	23/04/2025

Escalation Flowchart



Document Number	2.5.001	Created Date:	05/03/2021
Document Name	Complaints Policy	Last Modified Date:	23/04/2025
Version No.	3.0	Last Reviewed:	23/04/2025

The following complaints handling framework has therefore been implemented for any stakeholder raising a complaint or issue. This procedure applies to all complaints, including those about:

- Academic matters from students,
- Non-academic matters from students, and
- Non-academic matters from persons seeking to enrol with the MTA Training and Employment in a VET course or unit of study.

No fees are applicable or levied to the student or other complainant for any stage of the complaints process.

1. In the first instance the complainant should discuss the matter with the personnel member or responsible person concerned. Where this is not considered appropriate then the complainant is encouraged to discuss the matter with MTA Training and Employment management.

If the complainant is satisfied with the outcome of the discussion, it would mean that the matter is resolved.

2. Any stakeholder may submit a formal complaint to MTA Training and Employment. Complaints information captured includes:
 - Submission date of complaint,
 - Name of complainant,
 - Nature of complaint,
 - Date of the event / activity which lead to the complaint,
 - The products and services or related organisation practices complained about,
 - A description of the complaint and relevant supporting data,
 - Any other relevant information or attachments (if applicable),
 - The requested remedy,
 - Immediate action taken (if any), and
 - The due date for a response.

All stages of the complaints process are documented. Complaints may be lodged via various channels including in-person via MTA Training and Employment personnel, via telephone and via email.

All complaints are immediately recorded in the MTA Training and Employment **Complaints Register**.

3. MTA Training and Employment commences processing of complaints as soon as practicable and within two (2) business days of a complaint being made and finalises the outcome as soon as practicable, preferably on the first contact if the complaint is straightforward. Complaints are assessed on a risk-based approach to assign them priority, considering items such as severity, safety implication, complexity, impact, and the need and possibility of immediate action. Personnel are alert in particular to the needs of students who are vulnerable or require special assistance.

Simple complaints may be easily addressed by the personnel who has received the complaint. More complex complaints are allocated to the MTA Training and Employment Quality Manager in the first instance. Serious complaints are immediately reported to the MTA Training and Employment Chief Executive Officer, who may allocate alternate or additional resourcing to the investigation and processing of the complaint.

Document Number	2.5.001	Created Date:	05/03/2021
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Version No.	3.0	Last Reviewed:	23/04/2025

4. MTA Training and Employment acknowledges receipt of complaints in writing immediately, as soon as practicable, and:
 - Arranges a suitable time if needed to discuss the complaint,
 - Advises complainants of expected timeframes, and
 - Gives complainants the contact details of the allocated contact person they can speak to about their complaint.
5. The MTA Training and Employment General Manager investigates complaints or refers matters to appropriate MTA Training and Employment personnel for investigation. In either case, investigations are expected to be resolved, and decisions made on the complaint as soon as practicable and within twenty (20) working days of the complaint being received in writing.

Complainants are given an opportunity to formally present their case at no cost and be accompanied and assisted by a support person at any relevant meetings.

An up-to-date status is made available to the complainant upon request and at regular intervals.

Decisions and outcomes of all complaints are merit-based decisions that consider all available evidence. MTA Training and Employment ensures complaints are considered with an open mind and without bias arising from any past issues with the complainant.

Issues raised in complaints are analysed to determine cause(s) and the root cause evident.

6. Complainants are advised on the outcome of complaints in writing, including detailed reason(s) for the outcome, explanations for decisions and remedies where appropriate.

The organisation ensures appropriate responses to complaints are provided promptly, addressing the needs and expectations of complainants while aligning with organisational policies and legal obligations.

Responses are designed to resolve the complaint effectively and prevent recurrence where applicable.

Types of Responses

Depending on the nature and severity of the complaint, the organisation's responses may include, but are not limited to:

- Issuing refunds where justified.
- Providing replacements for faulty or unsatisfactory products/services.
- Conducting repairs or rework to address service/product issues.
- Offering substitute products or services.
- Delivering technical assistance to resolve specific concerns.
- Sharing information to clarify the issue and its resolution.
- Making referrals to relevant personnel, departments, or external parties.
- Providing financial assistance where appropriate.
- Offering other assistance as deemed necessary.
- Awarding compensation for damages or inconvenience caused.
- Issuing an apology to acknowledge the organisation's responsibility.
- Offering a goodwill gift or token as a gesture of sincerity.
- Implementing changes to products, services, processes, policies, or procedures based on the complaint.

Document Number	2.5.001	Created Date:	05/03/2021
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Version No.	3.0	Last Reviewed:	23/04/2025

Implementation Considerations

The organisation will take into account the following when deciding on and implementing responses:

- Addressing all aspects of the complaint comprehensively to ensure resolution.
- Conducting follow-ups where appropriate to confirm complainant satisfaction and resolution effectiveness.
- Determining if it is suitable to extend remedies to others who might have been similarly affected but did not file formal complaints.
- Ensuring the level of authority required for approving various types of responses.
- Ensuring the dissemination of information about the response to relevant personnel for proper action and future prevention.

Response Authorisations		
Response Type	Approval Level Required	Notes
Refunds (up to \$500)	Supervisor or Manager	Refunds exceeding \$500 require General Manager approval.
Substitutes	Supervisor	Substitutes must be of equal or greater value than the original product/service.
Technical Assistance	All personnel	No additional approval required for standard technical assistance requests.
Information Requests	All personnel	Information shared must comply with privacy and confidentiality policies.
Referral to External Parties	All personnel	Ensure referral agreements are in place before providing details to external parties.
Financial Assistance	Supervisor or Manager	Any assistance above \$500 requires Chief Executive Officer approval.
Other Assistance	Supervisor or Manager	Assistance must align with organisational policy and available resources.
Compensation	Chief Executive Officer	Compensation claims exceeding \$2,000 require Board approval.
Apology	All personnel	Standard apologies can be issued; escalations require Supervisor approval.
Goodwill Gift or Token	Supervisor or Manager	Gifts exceeding \$100 in value require Chief Executive Officer approval.
Policy/Process Changes	Chief Executive Officer	Significant changes require consultation and formal approval by the governing body.

All approvals must be recorded in the **Complaints Register**, including the name and role of the approver.

If an approver is unavailable, the request should be escalated to the next level of authority.

This procedure ensures all responses are effectively authorised, documented, communicated effectively to the complainant, and aligned with the overall complaints-handling framework.

With this notification, all complainants receive information on how they can progress their complaint if still unhappy.

If a complainant is not satisfied with the outcome, they may appeal the decision. Please refer to the **Appeals Policy** for further information.

MTA Training and Employment securely maintain records of all details of the complaints process and retains evidence of all documentation relevant. Each complaint and its outcome is recorded via the **Complaints Register**.

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Version No.	3.0	Last Reviewed:	23/04/2025

Complaints Processing Delays

If at any stage MTA Training and Employment considers more than twenty (20) working days are required to process and finalise a complaint, MTA Training and Employment:

- Informs the complainant in writing, including reasons why more time is required, and
- Regularly updates the complainant on the progress of the matter.

MTA Training and Employment retains records of all complaints and grievances for a period of at least ten (10) years, allowing parties to the complaint or grievance appropriate access to these records.

Complaints Key Contacts

If a complainant is not satisfied with the resolution of a complaint, they may seek further assistance from the following additional parties as relevant:

Organisation	Details
Australia Skills & Quality Authority (ASQA)	1300 701 801 www.asqa.gov.au
Skills South Australia	https://mytraining.skills.sa.gov.au
South Australian Skills Commission	https://skillscommission.sa.gov.au/

Improvement Actions

MTA Training and Employment is committed to take appropriate action in any case where a complaint is substantiated. In cases where a complaint is upheld, MTA Training and Employment endeavours to identify the root cause of the complaint and takes appropriate action to prevent the situation happening again.

All improvement actions arising from complaints are raised via an **Improvement Record**. MTA Training and Employment maintains a **Continuous Improvement Register** for recording the receipt and management of improvement records.

MTA Training and Employment:

- Regularly analyses complaints to identify trends and any further improvements needed,
- Audits the complaints process on an annual basis to assess its effectiveness and improve the complaints process as needed.

Please refer to the **Quality Policy** for further information on the continuous improvement process.

Monitoring and Evaluation

MTA Training and Employment monitors and evaluates the complaints handling process to ensure complaints are handled objectively.

A process of performance monitoring, evaluation, and reporting has been established and implemented.

The organisation continually improves the effectiveness and efficiency of processes. Process performance and outcomes are regularly audited to identify and remove causes of existing and potential problems, as well as to uncover any opportunities for improvement.

Please refer to the **Quality Policy** for further information on the monitoring and evaluation process.

Document Number	2.5.001	Created Date:	05/03/2021
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Version No.	3.0	Last Reviewed:	23/04/2025